

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ST. GERMAIN et al.

Serial No.: Not yet assigned

Filed: Submitted herewith

For: DEVICES AND METHODS FOR
CEREBRAL PERFUSION
AUGMENTATION

Group Art Unit: Not yet assigned



INFORMATION DISCLOSURE STATEMENT

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO–1449 provides a listing of documents that may be relevant to the subject application. Copies of each of the references listed on the attached Form PTO–1449 are enclosed herewith.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left–most column of the form adjacent each cited reference, and return a copy for Applicant' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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January 23, 2002
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Cynthia B. Pacheco
Cynthia B. Pacheco

In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: January 23, 2002

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known

Application Number	Not yet assigned
Filing Date	Submitted herewith
First Named Inventor	ST. GERMAIN et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	269/021

10/057252
01/23/02

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,195,942		Weil et al.	03/23/1993	
	AB	5,531,776		Ward et al.	07/02/1996	
	AC	5,716,386		Ward et al.	02/10/1998	
	AD	6,161,547		Barbut	12/19/2000	
	AE	6,190,304	B1	Downey et al.	02/20/2001	
	AF	6,231,551	B1	Barbut	05/15/2001	
	AG	6,296,654	B1	Ward	10/02/2001	
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FOREIGN PATENT DOCUMENTS

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² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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